

METHOD AND APPARATUS FOR RECEIVING A CDMA SIGNAL

Claim of Benefit of Provisional and Parent Application

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Field of the Invention

The present invention relates generally to radio telephony, and more specifically to a method and apparatus for receiving and processing a radio signal that is subject to transmission-channel distortion.

BACKGROUND OF THE INVENTION

Radio telephones, commonly called cellular (or "cell") phones, have become ubiquitous in recent years. Formerly the domain of the wealthy, or those in specialized professions for whom the great expense then associated with them was justified, radio telephones are now used by a majority of the population in this country and in many other regions around the world. Considerable leaps in technology have contributed significantly to this evolution. These advances have not only made radio telephone service available to many subscribers at a reasonable price, but they have also permitted great increases in the capacity of the communication networks providing the service.

The cell phone is so called because it is designed to operate within a cellular network. Such a network has infrastructure that switches and routes calls to and from network subscribers who are using portable radio devices. Rather than having one or two antennas to handle all of this radio traffic, however, the cellular network is divided into a great many smaller areas, or "cells", each having an antenna of their own. A cellular

wireless system has several advantages over a central antenna system. As the cells are much smaller than the large geographic area covered by a central antenna, transmitters do not need as much power. This is particularly important where the transmitter is housed in a small device such as a cell phone. In addition, the use of low-power transmitters means that although the number of them operating in any one cell is still limited, the cells are small enough that a great many may operate in an area the size of a major city. The mobile stations do not transmit with enough power to interfere with others operating in other cells, or at least those cells that are not adjoining. In some networks, this enables frequency reuse, that is, the same communication frequencies can be used in non-adjacent cells at the same time without interference. This permits the addition of a larger number of network subscribers. In other systems, codes used for privacy or signal processing may be reused in a similar manner.

At this point, it should also be noted that as the terms for radio telephones, such as “cellular (or cell) phone” and “mobile phone” are often used interchangeably, they will be treated as equivalent herein. Both, however, are a sub-group of a larger family of devices that also includes, for example, certain computers and personal digital assistants (PDAs) that are also capable of wireless radio communication in a radio network. This family of devices will for convenience be referred to as “mobile stations” (regardless of whether a particular device is actually moved about in normal operation).

In addition to the cellular architecture itself, certain multiple access schemes may also be employed to increase the number of mobile stations that may operate at the same time in a given area. In frequency-division multiple access (FDMA), the available transmission bandwidth is divided into a number of channels, each for use by a different

caller (or for a different non-traffic use). Time-division multiple access (TDMA) improves upon the FDMA scheme by dividing each frequency channel into time slots. Any given call is assigned one or more of these time slots on which to send information. More than one voice caller may therefore use each frequency channel. Code-division multiple access (CDMA) operates by spreading and encoding transmissions. By encoding each transmission in a different way, each receiver (i.e. mobile station) decodes only information intended for it and ignores other transmissions.

The number of CDMA mobile stations that can operate in a given area is therefore limited by the number of encoding sequences available, rather than the number of frequency bands. The operation of a CDMA network is normally performed in accordance with a protocol referred to as IS-95 (interim standard-95) or, increasingly, according to its third generation (3G) successors, such as those sometimes referred to as 1xEV-DO and 1xEV-DV, the latter of which provides for the transport of both data and voice information.

A wireless network using any of these schemes employs a certain basic structure such as the one illustrated in Figure 1. Figure 1 is a simplified block diagram illustrating selected components of a wireless transmission system 100. Wireless transmission system 100 includes a transmit side 105 and a receive side 155. This illustration implies that the two sides are located in different terminals that are attempting to communicate with each other, although typically a communication terminal will include both transmit and receive functions.

The information to be transmitted, which may be voice or data information, is first provided to an encoder 110 to be encoded into digital form. Note that the terms

'data' and 'information' may be used interchangeably herein. No formal distinction is thereby intended unless it is specifically stated or apparent from the context. The encoded information is then mapped to symbols in a modulator 120 and provided to transmitter 130, where it is modulated onto a carrier wave and amplified for transmission via radio channel 150 through antenna 140.

The receiver 170 receives the transmitted radio frequency (RF) signal x through antenna 160. The received signal y is processed by the receiver 170 provides and the result \hat{d} to a demodulator 180, which recovers the encoded sequence \hat{u} (as well as it is able) taking into account the characteristics h of channel 150. This encoded sequence \hat{u} is provided to a decoder 190 for replication of the originally transmitted information. As should be apparent, the goal of any such communication system is the faithful reproduction of this information.

There are a number of obstacles, however, to reliable and effective transmission of information over the air interface. One of the most significant is multipath fading. Transmitted radio signals, generally speaking, spread out as they propagate, and different portions of the signal may reflect off or be otherwise impeded by the various objects each portion encounters. The result is that the different portions of same signal take different paths to the receiver and therefore arrive at slightly different times. These different portions may then interfere with each other and cause fading.

One manner of addressing this challenge is through the use of transmission diversity, for example time diversity or space diversity. Time diversity involves introducing time-delayed redundancy into the transmitted data and, where the fading is time variant, allows the receiver to more accurately recover the transmitted information.

Spatial diversity may also be used. In spatial diversity more than one transmission antenna is used, the antennas being spaced apart at a distance selected to provide a desired level of correlation between the data transmitted by each of the antennas. A combination of these two types of transmit diversity may be referred to as space-time transmit diversity (STTD).

The present invention is a receiver, a system, and a method for utilizing STTD transmitted signals and is of particular advantage when applied to a third-generation CDMA network, for example one operating according to the 1xEV-DV protocol.

SUMMARY OF THE INVENTION

The present invention is directed to the reception of data in radio signals transmitted in a network that employs space-time transmit diversity (STTD). In one aspect, the present invention is a receiver for receiving an STTD transmitted signal including a RAKE-STTD receiver as a first stage of the receiver for receiving and processing the STTD signal and at least a second stage receiver. The second stage receiver performs STTD parallel interference cancellation (STTD-PIC) using the output of the first stage and the received signal as its input, and produces a refined estimate of the transmitted data. The second state preferably includes an STTD-linear minimum mean square error (LMMSE) receiver that is used to process the refined estimate before it is output. The receiver may also include a third stage including an STTD-PIC and an STTD-LMMSE for further processing the output of the second stage to produce a further refined estimate. Stages subsequent to the RAKE-STTD may also process the received signal itself to produce an improved channel estimate.

In another aspect, the present invention is a system for communicating data via radio signals including an STTD transmitter and an STTD-signal receiver having at least one antenna, the receiver including a first stage RAKE-STTD for receiving the radio signals and a STTD-PIC second stage for receiving the output of the RAKE-STTD and further processing it to produced a refined estimate of the transmitted data. The second stage may also include an STTD-LMMSE. The receiver of the system may also include a plurality of antennas to increase the diversity gain.

In yet another aspect, the present invention is a method of receiving a data-bearing radio signal that has been transmitted using STTD including the steps of

receiving indications of the received radio signal in a first stage RAKE-STTD receiver and processing the signal in the first stage to produce an estimate of the transmitted data as output, receiving as input in a second stage of the receiver the output of the first stage, and the original received signal as well, and processing the input received in the second stage to produce a refined estimate of the data as output.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a simplified functional block diagram illustrating selected components of a wireless transmission system.

Figure 2 is a functional block diagram illustrating selected components that may be used on the transmit side of a system employing STTD according to an embodiment of the present invention.

Figure 3 is a simplified schematic drawing illustrating the antenna configuration of a telecommunication system utilizing transmit diversity for operating according to an embodiment of the present invention.

Figure 4 is a simplified schematic drawing illustrating the 2-1 diversity transmit antenna diversity configuration of Figure 3.

Figure 5 is a functional block diagram illustrating selected components of a receiver 500 according to an embodiment of the present invention

Figure 6 is a simplified schematic drawing illustrating the antenna configuration of a telecommunication system utilizing both transmit and receive diversity according to an embodiment of the present invention.

Figure 7 is a flow chart illustrating a method of receiving and processing a radio signal according to an embodiment of the present invention.

DETAILED DESCRIPTION

Figures 1 through 7, discussed herein, and the various embodiments used to describe the present invention are by way of illustration only, and should not be construed to limit the scope of the invention. Those skilled in the art will understand the principles of the present invention may be implemented in any similar radio-communication device, in addition to those specifically discussed herein.

The present invention presents an innovative design for a hybrid radio receiver that may be used, for example, in a code division multiple access (CDMA) telecommunication system that employs space-time transmit diversity (STTD). As mentioned above, STTD is in many systems an effective way to combat the effects of multipath distortion. Figure 2 is a functional block diagram illustrating selected components that may be used on the transmit side 200 of a system employing STTD according to an embodiment of the present invention. Naturally, the selected transmission components 200 are arranged with the intent of sending a signal to a compatible receiver (not shown in Figure 2), such as one operable according to an embodiment of the present invention.

The information (data) to be transmitted is provided to encoder 205, and the encoded information is then provided to modulator 210. In order to achieve transmit diversity, the modulated bit stream $b_0, b_1, b_2, b_3, \dots$ is provided to splitter 215 where it is split into two streams: $b_0, b_1, b_2, b_3, \dots$ and $-b_1^*, b_0^*, -b_3^*, b_2^*, \dots$ (where “*” denotes a complex conjugate). Each of these streams is then spread with respect to time using a spreading code W_{32} , such as a Walsh-Hadamard code (length 32), by multiplier 220 and multiplier 230, respectively. Pilot signals are added to the spread signal in adders 224

and 234, respectively, then a pseudonoise (PN) code is applied to each stream in respective multipliers 228 and 238 to create two multi-coded spread sequences represented in Figure 2 by the vectors s and s^* .

Figure 3 is a simplified schematic drawing illustrating the antenna configuration of a telecommunication system 300 utilizing transmit diversity for operating according to an embodiment of the present invention. Transmit antenna TX_1 and transmit antenna TX_2 are both transmitting different forms of the same information for reception by receive antenna RX_1 . In Figure 3, the information being transmitted from antenna TX_1 is designated as signals s_0 , s_1 , and the information transmitted from antenna TX_2 as signals $-s_1^*$, s_0^* . Transmit antennas TX_1 and TX_2 are typically present in the same physical device, for example a wireless network base station, and may form a transmit station as described above in relation to Figure 2. Receiver RX_1 , of course, will typically be part of another wireless communication device such as a mobile station. The configuration of Figure 3 is said to exhibit 2-1 diversity in reference to the number of transmit and receive antennas. Note, however, that for a given transmission there may be any number of intended receiving stations (each having its own antenna). In other words, 2-1 diversity may be used to send broadcast or multicast transmissions, in addition to those intended for a single recipient.

Each combination of transmit antenna and receive antenna defines a channel, and therefore in the embodiment of Figure 3 there are two, designated h_{11} and h_{21} . Presuming that antenna TX_1 transmits signal s_0 at time t and s_1 at time $t + T$, where T is the symbol period, and that antenna TX_2 transmits signal $-s_1^*$ at time t and s_0^* at $t + T$, then the signals r_0 and r_1 received at receive antenna RX_1 may be characterized as:

$$r_0 = h_{11}s_0 - h_{21}s_1^* + n_0 \quad \text{and}$$

$$r_1 = h_{11}s_1 + h_{21}s_0^* + n_1 ,$$

where n_0 and n_1 represent the additive noise at times t and $t + T$, respectively.

This configuration achieves a diversity order of 2 utilizing a single receive antenna. The STTD-transmitted signals s_0 and s_1 may be decoded (estimated) using the following linear operations:

$$\tilde{s}_0 = h_{11}^*r_0 + h_{21}r_1^* = (|h_{11}|^2 + |h_{21}|^2)s_0 + n$$

$$\tilde{s}_1 = -h_{21}r_0^* + h_{11}^*r_1 = (|h_{11}|^2 + |h_{21}|^2)s_1 + n'$$

where n and n' are noise terms.

Figure 4 is a simplified schematic drawing illustrating the 2-1 diversity transmit antenna diversity configuration 300 of Figure 3, except that for simplicity the STTD transmitted signals s_0, s_1 are generally represented as \mathbf{x}_1 and the signals $-s_1^*, s_0^*$ are represented as \mathbf{x}_2 . Correspondingly, the received signal r_0, r_1 are together represented as \mathbf{y} and the additive noise \mathbf{v} . Note, however, that although only two signals were illustrated in Figure 3, the vectors \mathbf{x} and \mathbf{y} could represent any number of signals.

Using this notation, the received signal \mathbf{y} may be represented as:

$$\mathbf{y} = [\mathbf{H}_{11} \quad \mathbf{H}_{21}] \begin{bmatrix} \mathbf{x}_1 \\ \mathbf{x}_2 \end{bmatrix} + \mathbf{v}$$

where $\mathbf{y} = [y_{n+F}, y_{n+F-1}, \dots, y_n]^T$, with n being the chip index, and $F+1$ the number of filter (chip equalizer) taps per transmit antenna. In this equation, the transmitted signal vector of size $(F + 1 + L)$ for the first antenna is $\mathbf{x}_1 = [x_{1,n+F}, x_{1,n+F-1}, \dots, x_{1,n}, \dots, x_{1,n-L}]^T$ (and likewise for the second antenna). Further, $\mathbf{v} = [v_{n+F}, v_{n+F-1}, \dots, v_n]^T$, and represents the additive noise sequence of autocorrelation matrix \mathbf{R}_{vv} .

H_{11} , H_{21} are Sylvester matrices of size $(F + 1) \times (F + 1 + L)$ containing shifted versions of the corresponding overall channel impulse responses, where $\mathbf{h}_{j1} = [h_{j1,0}, h_{j1,1}, \dots, h_{j1,L}]^T$ for $j=1,2$.

$$\mathbf{H}_{11} = \begin{bmatrix} h_{11,0} & h_{11,1} & \cdots & h_{11,L} & 0 & \cdots & 0 \\ 0 & h_{11,0} & h_{11,1} & \cdots & h_{11,L} & \ddots & \vdots \\ \vdots & \ddots & \ddots & & & \ddots & 0 \\ 0 & \cdots & 0 & h_{11,0} & h_{11,1} & \cdots & h_{11,L} \end{bmatrix}, \text{ and}$$

$$\mathbf{H}_{21} = \begin{bmatrix} h_{21,0} & h_{21,1} & \cdots & h_{21,L} & 0 & \cdots & 0 \\ 0 & h_{21,0} & h_{21,1} & \cdots & h_{21,L} & \ddots & \vdots \\ \vdots & \ddots & \ddots & & & \ddots & 0 \\ 0 & \cdots & 0 & h_{21,0} & h_{21,1} & \cdots & h_{21,L} \end{bmatrix}.$$

Letting $\mathbf{H} = [\mathbf{H}_{11} \ \mathbf{H}_{21}]$ and $\mathbf{x} = \begin{bmatrix} \mathbf{x}_1 \\ \mathbf{x}_2 \end{bmatrix}$, \mathbf{y} can also be expressed $\mathbf{y} = \mathbf{H}\mathbf{x} + \mathbf{v}$.

On the receive side of the transmission, the transmitted STTD signals are received and processed. Figure 5 is a functional block diagram illustrating selected components of a receiver 500 according to an embodiment of the present invention. Receiver 500 includes an STTD-RAKE receiver as a first-stage 510 that processes incoming signal \mathbf{y} as described above, and outputs estimated encoded bits $\hat{\mathbf{u}}$ and/or symbols $\hat{\mathbf{d}}$. Note that CDMA devices commonly employ RAKE receivers to combat multipath fading.

The basic principle of the RAKE receiver involves selecting a limited number of individual paths of the transmitted signal. The time-delay between different paths arises because the signal is traveling from the transmitter to the receiver. Each selected path is provided to a different RAKE “finger”.

In operation, each finger of the RAKE-STTD receiver (not shown individually) uses a time-aligner to compensate for the path delay. The pilot PN quadrature spreading is then removed and the characteristics of the transmission channel are estimated using the pilot channels. A code such as a 32-length Walsh-Hadamard code (assuming the same having been employed in the transmitter) is used to despread the received signal, and then the STTD-transmitted signal is decoded as described above. The decoded results of all fingers are then combined and passed to the demodulator to yield the RAKE-STTD output represented in Figure 5 as $\hat{\mathbf{u}}, \hat{\mathbf{d}}$. Estimated data $\hat{\mathbf{u}}, \hat{\mathbf{d}}$ is provided to second stage 520.

The second stage 520 of receiver 500 is a first STTD-PIC, which then operates to refine the estimate as follows. Using K to represent the number of active spreading codes (except those used for the pilot channels), and \mathcal{H}_{11} and \mathcal{H}_{21} represent the overall channel impulse response between each respective transmit antenna and the receive antenna (*see, for example*, Figure 3). The overall channel impulse response is represented by a Sylvester matrix $\mathcal{H} = [\mathcal{H}_{11}, \mathcal{H}_{21}]$. Then, setting $j = 1$,

$\hat{\mathbf{x}}_j = [\hat{\mathbf{x}}_{1,j}^T, \hat{\mathbf{x}}_{2,j}^T]^T$ is used to represent the reconstructed chip signal of a whole transmitted frame from both antennas, based on decisions of the previous stage, of all the active spreading codes of the system (including the pilot) – except the j^{th} spreading code. The multiuser interference “seen” by the j^{th} spreading code is $\mathcal{H} \hat{\mathbf{x}}_j$ where \mathcal{H} is defined over the entire frame. The PIC of second stage 520 then subtracts this interference from the received chip vector \mathbf{y} to produce (ideally) an interference-free signal for the j^{th} spreading code. This signal, which may be represented as $\mathbf{y} - \mathcal{H} \hat{\mathbf{x}}_j$, is then passed through an

STTD-LMMSE receiver 525 incorporated as part of second stage 520 to yield the symbol estimates for the j^{th} code for the next stage.

The STTD-LMMSE (linear minimum mean square error) receiver is an LMMSE chip equalizer filter followed by a bank of matched filters, which in turn is followed by a decision device. An LMMSE chip equalizer filter seeks to minimize the mean-squared error between its output and the transmitted chip sequence \mathbf{x}_n (n being the chip index). In this embodiment, the STTD-LMMSE will try to detect the two transmitted streams (\mathbf{x}_1 and \mathbf{x}_2), and it is the solution to the minimization:

$$\mathbf{w}_{\text{LMMSE}} = \arg \min_{\mathbf{w}} E \{ \|\mathbf{w}^H \mathbf{y} - \mathbf{x}_n\|^2 \},$$

where \mathbf{w} is the $(F+1) \times 2$ filter to be found and $\mathbf{x}_n = \begin{bmatrix} x_{1,n} \\ x_{2,n} \end{bmatrix}$. Minimization of this

quantity will lead to $\mathbf{R}_{yy} \mathbf{w}_{\text{LMMSE}} = \mathbf{R}_{yx}$ where $\mathbf{R}_{yy} \equiv E\{\mathbf{y}\mathbf{y}^H\} = \mathbf{H}\mathbf{R}_{xx}\mathbf{H}^H + \mathbf{R}_{vv}$. (\mathbf{R}_{vv} is the noise process correlation matrix.) And finally

$$\mathbf{R}_{yx} \equiv E\{\mathbf{y}\mathbf{x}_n^*\} = \mathbf{H}E\{\mathbf{x}\mathbf{x}_n^H\} = \sigma_x^2 \tilde{\mathbf{h}}_F$$

where the autocorrelation of the transmitted signal is assumed:

$$\mathbf{R}_{xx} = \sigma_x^2 \mathbf{I},$$

$\tilde{\mathbf{h}}_F$ is an $(F+1) \times 2$ matrix whose first and second columns are the F^{th} columns of \mathbf{H}_{11} and \mathbf{H}_{21} (shown above), respectively (counting starts from 0). Assuming that the transmitted signal is independent of the additive noise, this yields:

$$\mathbf{w}_{\text{LMMSE}} = (\mathbf{H}\mathbf{H}^H + \frac{1}{\sigma_x^2} \mathbf{R}_{vv})^{-1} \tilde{\mathbf{h}}_F.$$

Naturally, the process described above is repeated with respect to each $j = 2, 3, \dots K$, where K is the number of active spreading codes (a user may have an assigned one, or multiple codes). The symbol estimates and the bit estimates of all users are denoted $\hat{\mathbf{d}}^{(2)}$, $\hat{\mathbf{u}}^{(2)}$, respectively and are passed to the third stage 530 of receiver 500. Third stage 530 is also an STTD-PIC incorporating an STTD-LMMSE 535, and performs an operation similar to that described above with reference to the (first STTD-PIC of) second stage 520, but using its input $\hat{\mathbf{d}}^{(2)}$, $\hat{\mathbf{u}}^{(2)}$ and \mathbf{y} to produce a further refined data estimate $\hat{\mathbf{u}}^{(3)}$, $\hat{\mathbf{d}}^{(3)}$. Bit or symbol estimates $\hat{\mathbf{u}}^{(3)}$, $\hat{\mathbf{d}}^{(3)}$ may be provided to a decoder (not shown), or may be subjected to further refinement in one or more additional STTD-PIC stages (also not shown).

In a particularly advantageous embodiment of the present invention, stages that include a PIC receiver can apply parallel interference cancellation to the pilot signal (or signals) for each transmit antenna, in an analogous fashion to that used for user symbols. This alternative may significantly improve channel estimation.

In another embodiment, the system may also employ receive diversity. Figure 6 is a simplified schematic drawing illustrating the antenna configuration of a telecommunication system 600 utilizing both transmit and receive diversity according to an embodiment of the present invention. Similar to the embodiment of Figure 4, transmit antenna TX_1 and transmit antenna TX_2 are used to achieve transmit diversity for the transmitted signals. In the embodiment of Figure 6, however, each of the each of these transmissions is received by both receive antennas RX_1 and RX_2 , creating four separate transmission channels represented as h_{11} , h_{12} , h_{21} , and h_{22} . This configuration is said to exhibit 2-2 diversity.

Note that in contrast to the system of Figure 4, the two receivers RX_1 and RX_2 are normally located at the same device. There may be many such devices, of course, each receiving the same signal. In one embodiment of the present invention, the transmit diversity signal may be received and processed by devices having a single receive antenna as well as by devices having two (or more) receive antennas.

In the embodiment of Figure 6, the signals transmitted by antennas TX_1 and TX_2 are represented as x_1 and x_2 , respectively. The combined signal received at receiver RX_1 (including additive noise v_1) is represented as y_1 , and the combined signal received at antenna RX_2 (plus noise v_2) as y_2 . In this case, the received signal y is represented as:

$$y = \begin{bmatrix} y_1 \\ y_2 \end{bmatrix} = \begin{bmatrix} H_{11} & H_{21} \\ H_{12} & H_{22} \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{bmatrix} v_1 \\ v_2 \end{bmatrix}$$

Figure 7 is a flow chart illustrating a method 700 of receiving a radio signal according to an embodiment of the present invention. Initially, (START), it is presumed that the receiver of Figure 5 is being utilized; the operation of various other embodiments of the present invention should be apparent, however, in light of this disclosure and the accompanying drawings. The method begins when a radio signal is received at a receive filter (not shown in Figure 5) of the receiver and then downsampled (steps not shown), resulting in a signal represented by a vector y . The downsampled signal y is then received at a first stage of the receiver (step 705), where it is processed using a RAKE-STTD receiver (step 710). The output \hat{u}, \hat{d} (see Figure 5) of the first stage is then received at the STTD-PIC second stage along with the downsampled signal y (step 715).

In the STTD-PIC second stage, multiuser interference is identified and subtracted from the signal (step 720), and the result provided to an STTD-LMMSE receiver

incorporated within the STTD-PIC second stage (step 725) and processed to produce output $\hat{\mathbf{u}}^{(2)}$, $\hat{\mathbf{d}}^{(2)}$ (step 730). The STTD-LMMSE chip equalizer (filter) attempts to minimize the mean-squared error between the transmitted chip signal and the received LMMSE filtered signal. This is then received at the STTD-PIC third stage, which is provided with the downsampled signal y as well (step 745). There, as in the STTD-PIC second stage, multiuser interference is identified and subtracted from the signal (step 750), and the result provided to an STTD-LMMSE receiver incorporated within the STTD-PIC third stage (step 755). The third-stage STTD-LMMSE then processes the signal to produce output $\hat{\mathbf{u}}^{(3)}$, $\hat{\mathbf{d}}^{(3)}$ (step 760). This output is then provided to a decoder or, if present, a subsequent STTD-PIC stage or stages (step not shown).

The preferred descriptions are of preferred examples for implementing the invention, and the scope of the invention should not necessarily be limited by this description. Rather, the scope of the present invention is defined by the following claims.